

Thu

	Г	

	Application Number 10/635,794					
	Filing Date August 5, 2003					
TRANSMITTAL FORM	First Named Inventor Vincent Alan Larsen					
(to be used for all correspondence during pendency of filed application)	Group Art Unit Number 2131					
	Examiner Name Not Yet Known					
Total Number of Pages in This Submission 5	Attorney Docket Number 24089-09279					
ENCLOSURES	6 (check all that apply)					
Fee Transmittal Form (in duplicate) Check Enclosed Return Receipt Postcard Response to Notice to File Missing Parts Assignment & Recordation Cover Sheet Declaration Power of Attorney Application Data Sheet Supplemental Information Disclosure Statement & PTO/SB/08A Copies of IDS Cited References Request for Corrected Filing Receipt Request for Correction of Recorded Assignment Amendment/Response: [] Page(s)	Issue Fee Transmittal Letter to Chief Draftsperson Formal Drawing(s): [] Sheet(s) of Figure(s) [] Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Certified Copy of Priority Document(s) After Allowance Communication to Group					
REMARKS:						
SIGNATURE OF ATTORNEY OR AGENT						
Signature:						
Attorney/Reg. No.: Brian M. Hoffman, Reg. No. 39,	713 Dated: 5/26/05					
CERTIFICATE OF MAILING						
I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.						
Signature:						
Typed or Printed Name: Brian M. Hoffman	Dated: 5/26/65					
Express Mail Mailing Number (optional):	, , ,					

MAY 3 1 2005 CO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT.

Vincent Alan Larsen

APPLICATION NO.:

10/632,794

FILING DATE:

August 5, 2003

TITLE:

Key Exchange For A Process-Based Security System

EXAMINER:

Not Yet Known

GROUP ART UNIT:

2131

ATTY. DKT. NO.:

24089-09279

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below:

Dated:

5/24/05

By

Brian M. Hoffman, Reg. No.: 39,713

MAIL STOP AMENDMENT COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

continued examination (RCE) under 37 CFR § 1.114;

This Information Disclosure Statement is being filed:

\boxtimes	within three months of the filing date of the application, or date of entry into
	the national stage of an international application, or before the mailing date of
	a first office action on the merits, whichever event last occurred;
	before the mailing of a first official action after the filing of a request for

	after t	hree m	onths of the filing date of this national application or the date of				
	entry o	of the n	ational stage in an international application, or after the mailing				
	date of the first official action on the merits, whichever event last occurred, but						
•	before	the ma	ailing date of the first to occur of either: (1) a final action under				
	37 CF	R §1.1	13; or (2) an action that otherwise closes prosecution in the				
	applic	atiọn, a	and:				
		attac	hed hereto is the fee set forth under 37 CFR §1.17(p) for				
		subm	nission of this Information Disclosure Statement under 37 CFR.§				
		1.97((c); OR				
		Appl	icant certifies pursuant to 37 CFR § 1.97(e) that:				
			each item of information contained in this Information				
			Disclosure Statement was first cited in a communication from				
			a foreign patent office in a counterpart foreign application not				
			more than three months prior to the filing of this Statement;				
			OR				
			no item of information contained in this Information				
			Disclosure Statement was cited in a communication from a				
			foreign patent office in a counterpart foreign application and,				
			to the knowledge of the person signing this certification after				
			making reasonable inquiry, no item of information contained				
			in this Statement was known to any individual designated				
			under 37 CFR § 1.56(c) more than three months prior to the				
			filing of this Statement;				
	on or	before	the payment of the issue fee but after the mailing date of the first				
	to occ	cur of e	ither: (1) a final action under 37 CFR § 1.113; (2) a notice of				
	allow	ance ur	nder 37 CFR § 1.311; or (3) an action that otherwise closes				
	prose	cution i	in the application, and:				
		Appl	icant certifies pursuant to 37 CFR. § 1.97(e) that:				
			each item of information contained in this Information				
	•		Disclosure Statement was cited in a communication from a				
			foreign patent office in a counterpart foreign application not				
			more than three months prior to the filing of this Statement;				
			no item of information contained in this Information				
			Disclosure Statement was cited in a communication from a				
			foreign patent office in a counterpart foreign application and,				
			to the knowledge of the person signing this certification after				
			making reasonable inquiry, no item of information contained				

	in this Statement was known to any individual of	lesignated							
	under 37 CFR § 1.56(c) more than three months	prior to the							
	filing of this Statement; AND	-							
	attached hereto is the fee set forth under 37 CFR §1.17(p) for							
	submission of this Information Disclosure Statement ur								
	1.97(d); OR	adi 57 Cilaş							
	after the payment of the issue fee. Applicant requests that the i	nformation							
	contained in this Information Disclosure Statement be placed in								
	according to 37 CFR § 1.97(i), although the information may n	ot be							
	considered by the USPTO.								
	- · · · · · · · · · · · · · · · · · · ·	examination of							
	this application, and for which there may be a duty to disclose.								
	This application relies, under 35 U.S.C. § 120, on the earlier filing date	of prior							
	application No, filed on, and the references cited the	rein are hereby							
	referenced, but are not required to be provided in this application under	r 37 CFR §							
	1.98(d).	-							
\boxtimes	This application was filed after June 30, 2003. Therefore, pursuant to	the waiver of							
_		the requirements under 37 CFR 1.98(a)(2)(i), copies of each U.S. Patent and each							
	U.S. Patent Application Publication are not required to be submitted.								
	•								
	foreign patent documents and non-patent literature cited herein are enc								
	Each item of information contained in this Information Disclosure Stat								
	cited in a communication from a foreign patent office in a counterpart								
	and the communication was not received by any individual designated	ŭ							
	1.56(c) more than thirty days prior to the filing of this Information Disc	losure							
	Statement. 37 CFR § 1.704(d).								
Ø	Applicant submits that no fee is required for the consideration of this In	ıformation							
	Disclosure Statement.								
Cons	onsideration of the listed references and favorable action are solicited.								
	Respectfully submitted,								
	VINCENT ALAN LARSEN								
	701-								
5,	5/26/05 By:								
	Brian M. Hoffman, Reg. No.: 39,713								
	Fenwick & West LLP								
	Silicon Valley Center								
	801 California Street								
	Mountain View, CA 94041								
	Tel.: (415) 875-2484 Fax.: (650) 938-5200								
	1 a.k. (000) 730-3200								

Dated:

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

RADEMA	Substitute for	form 1449	VPTO	Complete if Known		
		אום וע	CI OSIIDE	Application No.	10/635,794	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Filing Date	August 5, 2003	
				First Named Inventor	Vincent Alan Larsen	
				Art Unit	2131	
				Examiner Name	Not Yet Known	
Sheet	1	of	1	Attorney Docket Number	24089-09279	

U.S. PATENT DOCUMENTS						
		Document No.				
Examiner Initials*	Cite No.1	Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		
		US-6,282,618	08-28-2001	Flenley		
		US-6,249,867	06-19-2001	Patel		
		US-6,064,736	05-16-2000	Davis et al.		
		US-6,061,790	05-09-2000	Bodnar		
,		US-6,038,562	03-14-2000	Anjur et al.		
		US-5,826,242	10-20-1998	Montulli		
		US-5,684,951	11-04-1997	Goldman et al.		
		US-5,666,415	09-09-1997	Kaufman		
		US-				
		US-				
		US-				
		US-				
· ·		US-				
		US-				
		US-				
		US-				
		US-				

FOREIGN PATENT DOCUMENTS					
		Foreign Patent Document			
Examiner Initials*	Cite No.1	Country Code ³ – Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Т ⁸
		1			

Examiner	Date	
Signature	Considered	
		•

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.